



**UNITED STATES ENVIRONMENTAL  
PROTECTION AGENCY  
WASHINGTON, D.C. 20460**

OFFICE OF  
ENFORCEMENT AND COMPLIANCE  
ASSURANCE

**ACKNOWLEDGEMENT OF CONSENT**

January 14, 2015

JIN MO NO  
SMI USA  
13079 ARTESIA BLVD, STE B112,  
CERRITOS, CA US 90703

Re: Foreign Notice ID:  
EPA Notice ID: 009826/6E/14

Dear JIN MO NO:

This letter, including the enclosures, serves as the Acknowledgment of Consent (AOC) for EPA Notice ID 009826/6E/14 for the following waste stream numbers: 1. This AOC letter is valid from August 4, 2014 to August 3, 2015.

Enclosed you will find special instructions for exports under this AOC, as well as a copy of the notice.

Sincerely,

A handwritten signature in dark ink, reading "Robert G. Heiss".

Robert G. Heiss, Director  
International Compliance Assurance Division  
Office of Federal Activities

Enclosures

**Special Instructions for SLABs under 40 CFR Part 262 Subpart H**

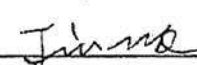
Please be advised that a copy of this ACKNOWLEDGMENT OF CONSENT must accompany each shipment of hazardous.

Please be advised of the following special RCRA requirements for export shipments of hazardous waste to OECD countries other than Canada or Mexico for recovery. These requirements are found at Title 40 Code of Federal Regulations, Part 262, Subpart H – Transboundary Movements of Hazardous Waste for Recovery within the OECD.

1. If the terms of the original approved notice should change, you must renotify EPA because the original consent will not cover shipments made under the changed terms. Please send your renotification to: Environmental Protection Agency, Office of Enforcement and Compliance Assurance, Office of Federal Activities, International Compliance Assurance Division (2254A), 1200 Pennsylvania Avenue, N.W., Washington D.C. 20460, "Attention: OECD Export Notification" prominently displayed on the front of the envelope. (§262.83(b))
2. A movement document containing all information required for notification as well as additional items specific to each shipment (§262.84(b)) must accompany each shipment from the initiation of the shipment within the United States until it reaches the foreign recovery facility. (§262.84(a))
3. You must file an exception report with the Office of Enforcement and Compliance Assurance, Office of Federal Activities, International Compliance Assurance Division (2254A), Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20460, if within ninety (90) days from the date the waste was accepted by the initial transporter, you have not received written confirmation from the foreign recovery facility that the hazardous waste was received; or if the waste is returned to the United States. (§262.87(b))
4. You must file an annual report by March 1 of each year with the Environmental Protection Agency, Office of Federal Activities, International Compliance Assurance Division (2254A), 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20460. (§262.87(a))
5. You must keep copies of all submitted notices, Acknowledgment of Consent letters, annual reports, exception reports, and certificates of recovery for at least three years. (§262.87(c))

All shipments of hazardous waste must conform to all applicable state and federal hazardous waste regulations and transportation requirements, as well as these specific export requirements.

# Notification Document for Transboundary Movements/Shipments of Waste

<b>1. Exporter Registration N°:</b> Name: SMI USA Address: 13079 Artesia Bl, Ste B112, Cerritos, CA 90703 USA Contact person: Jin Mo No Tel: 1-562-926-9955 Fax: 1-562-926-8955 Email: smi-usa@hotmail.com	<b>3. Notification N°:</b> 9826 6E 14 Notification concerning A. (i) Individual shipment: <input type="checkbox"/> (ii) Multiple shipment: <input checked="" type="checkbox"/> B. (i) Disposal (1): <input type="checkbox"/> (ii) Recovery: <input checked="" type="checkbox"/> C. Pre-consented recovery facility (2,3): Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <b>4. Total intended number of shipments:</b> 24 <b>5. Total intended quantity (4):</b> Tonnes (Mg): 5,000mt m3:												
<b>2. Importer Registration N°:</b> 105-86-18226 Name: Sejong Major International Co., Ltd. Address: M2002, Songdo IT Center, 172-1, Songdo-dong, Yeosu-gu, Incheon City, South Korea Contact person: Mr. Yong Ik Hwang Tel: 82-32-851-8885 Fax: 82-32-851-8868	<b>6. Intended period of time for shipment(s) (4):</b> First departure: 2014.06.20 Last departure: 2015.06.19 <b>7. Packaging type(s) (5):</b> Special handling requirements (6): Yes: No:												
<b>8. Intended carrier(s) Registration N°:</b> Name(7): FAMEX Sea & Air Co., Ltd. Address: Rm 410, YWCA Bldg., 1-3, 1 GA Myung Dong, Chung-gu, Seoul, South Korea Contact person: Tel: 82-2-730-3033 Fax: 82-2-730-3032 Email: famex@famexshipping.com Means of transport (5): Road, Sea	<b>11. Disposal / recovery operation(s) (2)</b> O code / R code (5): R4 Technology employed (6) SEE ANNEX (2) Reason for export (1,6): Recycling												
<b>9. Waste generator(s) (1,7,8) Registration N°:</b> Name: Address: SEE ANNEX (1) Contact person: Tel: Fax: Email: Site & process of generation (6)	<b>12. Designation and composition of the waste (6):</b> Lead Acid Battery Scrap												
<b>10. Disposal facility (2):</b> <input type="checkbox"/> or <b>Recovery facility (2):</b> <input checked="" type="checkbox"/> Registration N°: Name: Emmax Inc Co., Ltd. Address: 452-12, Gangbyeon-ro, Hwangnyong-myeon, Jangseong-gun, Jeollanam-do, South Korea Contact person: Keun Ho Kim Tel: 82-32-851-8858 Fax: 82-32-851-8868 Email: info@emmaxmajor.com Actual site of disposal/recovery: same as above	<b>13. Physical characteristics (5):</b> Solid <b>14. Waste identification (fill in relevant codes)</b> (i) Basel Annex VIII (or IX if applicable): A1150 (ii) OECD code (if different from (i)): A1150 (iii) EC list of wastes: (iv) National code in country of export: (v) National code in country of import: 02-01-02 (vi) Other (specify): (vii) Y-code: Y31 / Y34 (viii) H-code (5): H11 / H6 (ix) UN class (5): 9, 8 (x) UN Number: UN 2754 (xi) UN Shipping name: Lead Acid Battery Scrap (xii) Customs code(s) (HS): 8548-10-6000												
<b>15. (a) Countries/states concerned (b), code N° of competent authorities where applicable (c), specific points of exit or entry (border crossing or port)</b> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>State of Export</th> <th>State(s) of Transit (entry and exit)</th> <th>State of Import</th> </tr> </thead> <tbody> <tr> <td>(a) USA01</td> <td></td> <td>KOR1, Busan Port, Korea</td> </tr> <tr> <td>(b) SEE ANNEX (3)</td> <td></td> <td>Incheon Port, Korea</td> </tr> <tr> <td>(c)</td> <td></td> <td>Kwangyang Port, Korea</td> </tr> </tbody> </table>		State of Export	State(s) of Transit (entry and exit)	State of Import	(a) USA01		KOR1, Busan Port, Korea	(b) SEE ANNEX (3)		Incheon Port, Korea	(c)		Kwangyang Port, Korea
State of Export	State(s) of Transit (entry and exit)	State of Import											
(a) USA01		KOR1, Busan Port, Korea											
(b) SEE ANNEX (3)		Incheon Port, Korea											
(c)		Kwangyang Port, Korea											
<b>16. Customs offices of entry and/or departure (European Community):</b> Entry: Exit: Export:													
<b>17. Exporter's / Generator's (1) declaration:</b> I certify that the information is complete and correct to my best knowledge. I also certify that legally-enforceable written contractual obligations have been entered into and that any applicable insurance or other financial guarantee is or shall be in force covering the transboundary movement. Exporter's-notifier's name: Siva Rajen Date: May 28, 2014 Signature:  Generator's-producer's name: Siva Rajen Date: May 28, 2014 Signature:													
<b>FOR USE BY COMPETENT AUTHORITIES</b>													
<b>19. Acknowledgement from the relevant competent authority of countries of import / transit (1) / export (5):</b> Country: Notification received on: Acknowledgement sent on: Name of competent authority: Stamp and/or signature:	<b>20. Written consent (1,6) to the movement provided by the competent authority of (country):</b> Consent given on: Consent valid from: until: Specific conditions: No: <input type="checkbox"/> If Yes, see block 21 (6): <input type="checkbox"/> Name of competent authority: Stamp and/or signature:												
<b>21. SPECIFIC CONDITIONS ON CONSENTING TO THE MOVEMENT OR REASONS FOR OBJECTING</b>													

- (1) Required by the Basel Convention  
 (2) In case of R12/R13 or D13-D15 operation, also attach corresponding information on the subsequent R1-R11 or D1-D12 facility(ies) when required.  
 (3) To be completed for movements within the OECD area and only if B(ii) applies.  
 (4) Attach detailed list if multiple shipments

- (5) See list of abbreviations and codes on the next page  
 (6) Attach details if necessary  
 (7) Attach list if more than one  
 (8) If required by national legislation  
 (9) If applicable under the OECD Decision



## List of Abbreviations and Codes Used in the Notification Document

### DISPOSAL OPERATIONS (Block 11)

- D1 Deposit into or onto land, (e.g., landfill, etc.)
- D2 Land treatment, (e.g., biodegradation of liquid or sludgy discards in soils, etc.)
- D3 Deep injection, (e.g., injection of pumpable discards into wells, salt domes or naturally occurring repositories, etc.)
- D4 Surface impoundment, (e.g., placement of liquid or sludge discards into pits, ponds or lagoons, etc.)
- D5 Specialty engineered landfill, (e.g., placement into lined discrete cells which are capped and isolated from one another and the environment, etc.)
- D6 Release into a water body except seas/oceans
- D7 Release into seas/oceans including sea-bed insertion
- D8 Biological treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list
- D9 Physico-chemical treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list (e.g., evaporation, drying, calcination, etc.)
- D10 Incineration on land
- D11 Incineration at sea
- D12 Permanent storage, (e.g., emplacement of containers in a mine, etc.)
- D13 Blending or mixing prior to submission to any of the operations in this list
- D14 Repackaging prior to submission to any of the operations in this list
- D15 Storage pending any of the operations numbered in this list

### RECOVERY OPERATIONS (Block 11)

- R1 Use as a fuel (other than in direct incineration) or other means to generate energy
- R2 Solvent reclamation/regeneration
- R3 Recycling/reclamation of organic substances which are not used as solvents
- R4 Recycling/reclamation of metals and metal compounds
- R5 Recycling/reclamation of other inorganic materials
- R6 Regeneration of acids or bases
- R7 Recovery of components used for pollution abatement
- R8 Recovery of components from catalysts
- R9 Used or re-refining or other reuses of previously used oil
- R10 Land treatment resulting in benefit to agriculture or ecological improvement
- R11 Uses of residual materials obtained from any of the operations numbered R1-R10
- R12 Exchange of wastes for submission to any of the operations numbered R1-R11
- R13 Accumulation of material intended for any operation in this list.

### PACKAGING TYPES (Block 7)

- 1. Drum
- 2. Wooden barrel
- 3. Jerrican
- 4. Box
- 5. Bag
- 6. Composite packaging
- 7. Pressure receptacle
- 8. Bulk
- 9. Other (specify)

### MEANS OF TRANSPORT (Block 8)

- R = Road
- T = Train/Rail
- S = Sea
- A = Air
- W = Inland Waterways

### PHYSICAL CHARACTERISTICS (Block 13)

- 1. Powdery/powder
- 2. Solid
- 3. Viscous/paste
- 4. Sludgy
- 5. Liquid
- 6. Gaseous
- 7. Other (specify)

### H CODE AND UN CLASS (Block 14)

UN	H code	Characteristics
1	H1	Explosive
3	H3	Flammable liquids
4.1	H4.1	Flammable solids
4.2	H4.2	Substances or wastes liable to spontaneous combustion
4.3	H4.3	Substances or wastes which, in contact with water, emit flammable gases
5.1	H5.1	Oxidizing
5.2	H5.2	Organic peroxides
6.1	H6.1	Poisonous (acute)
6.2	H6.2	Infectious substances
8	H8	Corrosives
9	H10	Liberation of toxic gases in contact with air or water
9	H11	Toxic (delayed or chronic)
9	H12	Ecotoxic
9	H13	Capable, by any means, after disposal of yielding another material e.g. leachate, which possesses any of the characteristics listed above

Further information, in particular related to waste identification (block 14), i.e. on Basel Annexes VIII and IX codes, OECD codes and Y codes, can be found in a Guidance/Instruction Manual available from OECD and the Secretariat of the Basel Convention.

## ANNEX 1

### Item # 9: Waste Generators:

- 1 Company: ALFA ENTERPRISES USA INC  
Address: 2451 MCMULLEN BOOTH ROAD  
CLEARWATER, FL 33759  
Contact Person: DEEPESH OBEROI  
Tel: 727 725 3858 Fax: 727 490 4409  
Email: [alfaenterprisesusa@gmail.com](mailto:alfaenterprisesusa@gmail.com)
- 2 Company: AABCON  
Address: 4140 Fountainside Ln, # 102, Fairfax,  
VA 22030, USA  
Contact Person: Dhaval Shrimankar  
Tel: 703 944 7878 Fax: 703 991 7686  
Email: [info@aabcon.com](mailto:info@aabcon.com)
- 3 Company: HARVEST UNIVERSAL CORPORATION  
Address: 3657 Nina Court, Loganville,  
GA 30052  
Contact Person: Albert Bar  
Tel: 770 554 0621 Fax: 770 554 9483  
Email: [albertbaw@bellsouth.net](mailto:albertbaw@bellsouth.net)
- 4 Company: BULLFROG AUTO TRANSPORT LLC  
Address: 906 Napoleon Rd., Bowling Green  
Ohio 43402  
Contact Person: Matthew Fagan  
Tel: 419-409-0677 Fax: 419 352 7117  
Email: [matthewfagan@gmail.com](mailto:matthewfagan@gmail.com)
- 5 Company: INTERNATIONAL CORE SUPPLY  
Address: 3417 E. Columbus Dr.  
Tampa, FL 33605  
Contact Person: Tee Sethi  
Tel: 813 247 5811 Fax: 812 514 1179  
Email: [tee24ics@aol.com](mailto:tee24ics@aol.com)

## ANNEX 2

### Item # 11: Disposal/ Recovery Operation Technology employed:

Plastic container is sent to a plastic recycler

Lead Plates        \*Paste - to a smelter

                      \*Grids - Melting Furnace

Lead Terminals - Melting Furnace

Plate Separators - converted to a Fuel Source by another recycler

Acid Electrolyte is Neutralized, discharged and reclaimed

\*\*\*Above Technology is applied by the recovery facility in the importing country, all Lead Acid Battery scrap exported are whole, intact and not broken.

## ANNEX 3

### Item # 15: State of Export:

- Baltimore, Maryland
- Charleston, South Carolina
- Honolulu Harbor, Hawaii
- Houston / Galveston, Texas
- Jacksonville, Florida
- Long Beach / Los Angeles, California
- Miami, Florida
- New York, New York
- Port of Anchorage, Alaska (New)
- Port Everglades, Florida
- Port of Virginia / Norfolk, Virginia
- San Francisco/Oakland, California
- San Juan Port, Puerto Rico
- Savannah, Georgia
- Seattle / Tacoma, Washington
- Wilmington, North Carolina
- Guam Port, Guam
- Wilfred Bomba Allick Port, Virgin Islands
- Pago-Pago Port, American Samoa
- Saipan Port, Saipan

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**SMI USA**

13079 Artesia Bl, Ste B112, Cerritos, CA 90703 USA

Tel : 1-562-926-9955 Fax : 1-562-926-9955

May 28, 2014

**ATTN: OECD Export Notification**  
**Office of Enforcement and Compliance Assurance**  
Office of Federal Activities  
International Compliance Assurance Division  
Environmental Protection Agency  
Ariel Rios Building, Room 6144  
12<sup>th</sup> St. and Pennsylvania Ave., NW  
Washington, DC 20004

From: SMI USA

13079 Artesia Bl, Ste B112, Cerritos,  
CA 90703 USA

**"ATTN: License Application to Export Lead Acid Battery Scrap**

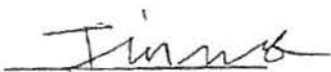
Dear Ms. Jana,

We, SMI USA, of 13079 Artesia Bl, Ste B112, Cerritos, CA 90703 USA, would like to apply for the license to export Lead Acid Battery Scrap from USA to South Korea through, Sejong Major International Co., Ltd. (Importer) and the disposal facility for this license is Emax Inc Co., Ltd.

Kindly see attached Notification form as supporting Document to this intention.

Your prompt action on the matter is highly appreciated.

Best Regards,



Jin Mo No

SMI USA

P.S.

The Korean Environment Office for this Region Through which the Notification for Hazardous Waste will be sent is as follows:

Yeongsanriver Environmental Management Office  
840-1 Yuchon-Dong, Seo-Gu, Gwangju-Si  
502-788, South Korea

Contact Person: Mr. Byeong San Kim  
Tel. No: 82-62-410-5114